



هيئة الأوراق المالية والسلع
SECURITIES & COMMODITIES AUTHORITY



إتحاد هيئات الأوراق المالية العربية
UNION OF ARAB SECURITIES AUTHORITIES



Dubai, United Arab Emirates
20 - 21 December 2017

Training Program on

CORPORATE GOVERNANCE

SUPERVISION AND ENFORCEMENT

Introduction:

Supervision and enforcement of corporate governance is a key priority for securities regulators to ensure market integrity, protect shareholders and attract foreign investment. As securities regulators across the region are seeking to develop the capacity of their staff responsible for supervision of listed companies, a training program on international practices in supervision and enforcement is organized by the UASA, Securities and Commodities Authority (SCA) and SCA Training Centre to be delivered by GOVERN.

Program Objectives:

The objective of this two-day training program is to provide an overview of international and regional corporate governance developments, best practices and challenges and to facilitate a discussion among regulators and with market participants on how corporate governance standards and their application can be further improved.

Target audiences:

The training program will be supported by GOVERN and UASA publications and tools. The staff which will be targeted by the training programme would be heads of corporate governance departments and employees of these departments as well as other departments which deal with corporate oversight and enforcement.

The training program is also targeting the UASA members as well as other regulators and exchanges; other financial market participants may also apply.

Qualifications:

Certificate of participation issued by the UASA.

Duration of the Program:

Two days

Program Language:

English

Program Details:

I. GLOBAL CORPORATE GOVERNANCE DEVELOPMENTS

- Revision of global corporate governance standards (OECD, WB, Basel)
- Overview of regulatory approaches (mandatory, comply or explain, etc)
- Significant governance developments in the Arab region
- Proportionality in regulation and enforcement of governance
- Coordination of responsibilities among regulators (CB, SEs, pension, etc.)

II. TOWARDS EFFECTIVE BOARD-LEVEL GOVERNANCE

- Optimal board composition and structure (global and regional practices)
- Ensuring board independence and competence
- Setting board roles and empowering boards
- Board, shareholder and C suite interactions
- Interactions between regulators and governance organs

III. PROMOTING DISCLOSURE AND TRANSPARENCY

- Best practices in transparency and disclosure
- Improving non-financial disclosure requirements
- Corporate governance disclosure oversight
- Disclosure of intangible assets
- Simulation with sample annual reports

IV. STRENGTHENING REGULATORY OVERSIGHT

- Regulating and overseeing conflicts of interest
- Overseeing approvals and disclosure of related party transactions
- Developing risk-based supervision frameworks
- Establishing frameworks for effective enforcement
- Review of sample enforcement actions

fees:

2500 AED

Venue:

Securities and Commodities Authority Building
5th Street, Al Garhoud Area, 117666 - Dubai
GPS Coordinates: 25.234247, 55.354586
Tel: +97142900000

In Cooperation With:

GOVERN - the Economic and Corporate Governance Center - is a niche advisory and research institute specialising in economic and corporate governance in the the Middle East and North Africa. We work alongside decision-makers to create legal and regulatory policies as well as construct institutions that promote business integrity, corporate governance and support the competitiveness of the region's capital markets and firms.



We provide specialist advice on capital markets development and corporate governance to stock exchanges, securities regulators, Central Banks, Ministries, sovereign actors and other regulators in the region.

We are a team of senior practitioners with experience in leading securities regulators, stock exchanges, banks, academia and international organisations motivated by supporting and promoting MENA economies and firms. Our experience developing policies for regulators and implementing them for corporates is complementary and gives us the flexibility to create teams of professionals with targeted regulatory, financial and economic experience.

With a decade long advisory and research experience in the Middle East and North Africa, we have also spent decades working on corporate governance in other emerging markets as well as in Europe and North America. We are thinkers with a multi-disciplinary background, allowing us to transcend preconceived solutions and develop policy solutions suitable to the region's corporate culture.



SCA Building, 1st Floor
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+97142900056



www.USA.ae
info@USA.ae